

6. SOLE / FIRST APPLICANT'S DETAILS

Mandatory

Name# _____ Gender# (please ✓) Male Female

Date of Birth/Incorporation#

D	D	M	M	Y	Y	Y	Y
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 Proof of DOB of Minor enclosed (please ✓) Passport Birth Certificate Other _____ please specify

PAN# _____ CKYC / KIN _____

Legal Entity Identification* _____ **Applicable for Non-Individuals only*

Guardian Name (in case of Minor) / POA (Contact Person For Non Individuals / POA Holder Name) # _____

PAN# _____ CKYC / KIN _____ Natural Guardian Legal Guardian[§]

Mailing Address [P. O. Box Address is not sufficient] _____

_____ City _____

Pincode _____ (Mandatory) State _____ Country _____

Phone (Off.) _____ Fax No. _____ Phone (Res) _____

If we confirm, below contact details are of (Pls select (✓) any) Primary holder ^Family (Specify relationship) _____

Mobile No.# _____ Email ID# _____

[^]Family for this purpose shall mean self, spouse, dependent children and dependent parents. [§] Enclose supporting documents

Overseas Address (Mandatory in case of NRI/ FII applicant, in addition to mailing address) _____

_____ City _____

State _____ Country _____ Zip Code# _____

All fields are Mandatory

Status: (Please ✓) Resident Individual NRI-Repatriation NRI-Non Repatriation Partnership Trust HUF AOP
 Minor through guardian Company Fils PIO Body Corporate Society/Club Sole Proprietorship
 Non Profit Organisation Financial Institution NBFC Others _____ (please specify)

Occupation: Private Sector Service Public Sector Service Government Service Business Professional Agriculturist Retired
 Housewife Student Forex Dealer Others (Please specify) _____

Gross Annual Income: Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore
 OR Net worth (Mandatory for Non-Individuals) ₹ _____ as on

D	D	M	M	Y	Y	Y	Y
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 (Not older than 1 year)

For Individuals [Please ✓]: I am Politically Exposed Person (PEP)[^] I am Related to Politically Exposed Person (RPEP) Not applicable

For Non-Individuals [Please ✓] (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form

(i) Foreign Exchange / Money Changer Services Yes No [^] PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of
 (ii) Gaming / Gambling / Lottery / Casino Services Yes No States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations,
 (iii) Money Lending / Pawning Yes No important political party officials, etc.

SECOND APPLICANT'S DETAILS

Mandatory

Name# _____ Gender# (please ✓) Male Female

Date of Birth#

D	D	M	M	Y	Y	Y	Y
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 Proof of DOB (please ✓) Passport Birth Certificate Other _____ please specify

PAN# _____ CKYC / KIN _____

Pincode _____ (Mandatory) Phone (Off.) _____ Mobile No.# _____

Phone (Res) _____ Email ID _____

Status: (Please ✓) Resident Individual NRI-Repatriation NRI-Non Repatriation Partnership Trust HUF AOP
 Minor through guardian Company Fils PIO Body Corporate Society/Club Sole Proprietorship
 Non Profit Organisation Financial Institution NBFC Others _____ (please specify)

Occupation: Private Sector Service Public Sector Service Government Service Business Professional Agriculturist Retired
 Housewife Student Forex Dealer Others (Please specify) _____

Gross Annual Income: Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore
 OR Net worth (Mandatory for Non-Individuals) ₹ _____ as on

D	D	M	M	Y	Y	Y	Y
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 (Not older than 1 year)

For Individuals [Please ✓]: I am Politically Exposed Person (PEP)[^] I am Related to Politically Exposed Person (RPEP) Not applicable

[^] PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

All fields are Mandatory

8. BANK ACCOUNT DETAILS FOR PAYOUT (Mandatory) (Please attach copy of cancelled cheque)

Name of the Bank											Branch														
Account No.											Account Type	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRO	<input type="checkbox"/> NRE	<input type="checkbox"/> Others									
Bank Address																									
Pincode						State						City													
MICR Code (9 digits)						*IFSC Code for NEFT / RTGS						*This is an 11 Digit Number, kindly obtain it from your cheque copy or Bank Branch.													

9. FATCA AND CRS INFORMATION (for Individual including Sole Proprietor) (Self Certification) (For Non - Individual separate form to be submitted)

The below information is required for all applicant(s)/ guardian

Address Type: Residential or Business Residential Business Registered Office (for address mentioned in form/existing address appearing in Folio)

Is the applicant(s)/ guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India? Yes No

If Yes, please provide the following information [mandatory]

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below

Category	First Applicant (including Minor)	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency#			
Tax Payer Ref. ID No ^A			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 2			
Tax Payer Ref. ID No. 2			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 3			
Tax Payer Ref. ID No. 3			
Identification Type [TIN or other, please specify]			
If TIN is not available, Please tick the reason A, B or C (as defined below)	Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

#To also include USA, where the individual is a citizen/ green card holder of USA. ^AIn case Tax Identification Number is not available, kindly provide its functional equivalent.

Reason A: The country where the Account holder is liable to pay tax does not issue Tax Identification Number to its residents.

Reason B: No TIN required. (Section this reason Noly if the authorities of the respective country of tax residence do not require the TIN to be collected)

Reason C: Other, please state the reason therefore _____

For Non-Individual investors, please fill in UBO form along with FATCA / CRS annexure and attach along with Application form available on our website www.pgimindiamf.com

INSTRUCTIONS FOR ONE TIME MANDATE FORM

One Time Mandate (OTM) is an authorization to the bank issued by an investor to debit their bank account up to a maximum limit as provided by the investor in the OTM mandate.

This would facilitate debits for all purchases initiated by the investor up to maximum limit from the bank account provided in the section.

- To avail this facility the investors of the fund shall be required to submit one time mandate, completely filled in with all the details in the designated mandate form. Please attach a cancelled cheque copy.
- Investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned.
- Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will be updated in the folio. All future communication whatsoever would be, thereafter, sent to the updated mobile number and email id.
- Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/ bank account details are subject to third party verification.
- Investors are deemed to have read and understood the terms and conditions of OTM Facility, SIP registration through OTM facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of PGIM India Mutual Fund.

- Date and the validity of the mandate should be mentioned in DD/MM/YYYY format.
- Utility Code of the Service Provider will be mentioned by PGIM India Mutual Fund
- Tick on the respective option to select your choice of action and instruction.
- The numeric data like Bank account number, Investors account number should be left padded with zeroes.
- Please mention the Name of Bank and Branch, IFSC / MICR Code also provide An Original Cancelled copy of the cheque of the same bank account registered in One Time Mandate.
- Amount payable for service or maximum amount per transaction that could be processed in words. The amount in figures should be same as the amount mentioned in words, in case of ambiguity the mandate will be rejected.
- For the convenience of the investors the frequency of the mandate will be "As and When Presented"
- Please affix the Names of customer/s and signature/s as well as seal of Company (where required) and sign the undertaking.
- PGIM India MF may amend the above terms and conditions, at any time without prior notice to investors and such amended terms and conditions will there upon apply to and will binding on the investors.
- For period selection investor has option to mention end date or select until cancelled, please note that if both the option are selected then the mandate would be rejected.

10. NOMINATION DETAILS (To be filled in by individuals singly or jointly. Mandatory only for Investors who opt to hold units in Non-Demat Form)

I / We wish to :

- Register¹ a Nominee for my / our investments
 Declare that I / We do not wish to appoint a nominee for my / our investments. I / We understand that in the event of death of all unitholder(s) in the folio, the legal heirs for the unit holders would need to submit all requisite documents for transmitting the units in favour of the legal heir based on applicable legal / regulatory requirements at the stage of initiation of the transmission request.

Name of 1st Nominee : _____			
PAN** <input type="text"/>		Date of Birth* <input type="text"/>	
Relationship with 1 st holder : <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Spouse <input type="checkbox"/> Son <input type="checkbox"/> Daughter <input type="checkbox"/> Others _____ (Please specify)			
Proof of relationship : <input type="checkbox"/> Birth Certificate <input type="checkbox"/> Marriage Certificate <input type="checkbox"/> Passport <input type="checkbox"/> Others _____ (Please specify)			
Address of Nominee (Please ✓) <input type="checkbox"/> Same Address as is updated in the folio <input type="checkbox"/> Different Address. Please provide details below:			
Address: _____			
City : _____		State : _____	PIN <input type="text"/>
% of Allocation ² : <input type="checkbox"/> 100% <input type="checkbox"/> 75% <input type="checkbox"/> 50% <input type="checkbox"/> 33 ¹ / ₃ % <input type="checkbox"/> 25% <input type="checkbox"/> Others _____ (Please specify)			
Guardian Information (To be mandatorily provided if Nominee is below 18 years of age)			
Name of Guardian : _____		PAN of Guardian* <input type="text"/>	
Guardian's Relationship with Nominee* : <input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian			
Name of 2nd Nominee : _____			
PAN** <input type="text"/>		Date of Birth* <input type="text"/>	
Relationship with 1 st holder : <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Spouse <input type="checkbox"/> Son <input type="checkbox"/> Daughter <input type="checkbox"/> Others _____ (Please specify)			
Proof of relationship : <input type="checkbox"/> Birth Certificate <input type="checkbox"/> Marriage Certificate <input type="checkbox"/> Passport <input type="checkbox"/> Others _____ (Please specify)			
Address of Nominee (Please ✓) <input type="checkbox"/> Same Address as is updated in the folio <input type="checkbox"/> Different Address. Please provide details below:			
Address: _____			
City : _____		State : _____	PIN <input type="text"/>
% of Allocation ² : <input type="checkbox"/> 100% <input type="checkbox"/> 75% <input type="checkbox"/> 50% <input type="checkbox"/> 33 ¹ / ₃ % <input type="checkbox"/> 25% <input type="checkbox"/> Others _____ (Please specify)			
Guardian Information (To be mandatorily provided if Nominee is below 18 years of age)			
Name of Guardian : _____		PAN of Guardian* <input type="text"/>	
Guardian's Relationship with Nominee* : <input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian			
Name of 3rd Nominee : _____			
PAN** <input type="text"/>		Date of Birth* <input type="text"/>	
Relationship with 1 st holder : <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Spouse <input type="checkbox"/> Son <input type="checkbox"/> Daughter <input type="checkbox"/> Others _____ (Please specify)			
Proof of relationship : <input type="checkbox"/> Birth Certificate <input type="checkbox"/> Marriage Certificate <input type="checkbox"/> Passport <input type="checkbox"/> Others _____ (Please specify)			
Address of Nominee (Please ✓) <input type="checkbox"/> Same Address as is updated in the folio <input type="checkbox"/> Different Address. Please provide details below:			
Address: _____			
City : _____		State : _____	PIN <input type="text"/>
% of Allocation ² : <input type="checkbox"/> 100% <input type="checkbox"/> 75% <input type="checkbox"/> 50% <input type="checkbox"/> 33 ¹ / ₃ % <input type="checkbox"/> 25% <input type="checkbox"/> Others _____ (Please specify)			
Guardian Information (To be mandatorily provided if Nominee is below 18 years of age)			
Name of Guardian : _____		PAN of Guardian* <input type="text"/>	
Guardian's Relationship with Nominee* : <input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian			

* Mandatory if nominee is below 18 years of age (minor). Please also attach a copy of the birth certificate / alternate proof of date of birth of the nominee. ** PAN of nominee must be mandatorily furnished if nominee is above 18 years of age. ¹ Nomination details provided would over-ride any previous nomination registered in the folio. ² Please ensure that sum of allocation% across all nominees in the folio adds up to exactly 100%.

11. DECLARATION AND SIGNATURES

I/We hereby confirm and declare as under:- I/We have read and understood the contents of the Statement of Additional Information of PGIM India Mutual Fund and the Scheme Information Document(s)/Key Information memorandum of the respective Scheme(s) and Addenda thereto, issued from time to time and the Instructions. I/We, hereby apply to the Trustee of PGIM India Mutual Fund for allotment of units of the respective Scheme(s) of PGIM India Mutual Fund, as indicated above and agree to abide by the terms, conditions, rules and regulations of the relevant Scheme(s). I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/We declare that I am/We are authorised to make this investment and the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme(s) is/are being recommended to me/us. I/We declare that the information given in this application form is correct, complete and truly stated. In the event of my/our not fulfilling the KYC process to the satisfaction of the AMC/PGIM India Mutual Fund, I/We hereby authorise the AMC/PGIM India Mutual Fund to redeem the units against the funds invested by me/us at the applicable NAV as on the date of such redemption. I/We agree that PGIM India Mutual Fund can debit from my Folio Transaction Charges as applicable. I/We agree to notify PGIM India Asset Management Private Limited immediately in the event the information in the self-certification changes. **For investors investing in Direct Plan:** I/We hereby agree that the AMC has not recommended or advised me/us regarding the suitability or appropriateness of the product/scheme/plan. **Applicable to Micro Investors:** I/We hereby declare that I/We do not have any existing Micro investments which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. **Applicable to NRIs:** I/We confirm that I am/We are Non-Resident(s) of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account(s). **FATCA and CRS Declaration:** I/We hereby acknowledge and confirm that the information provided in this form is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We shall be liable for it. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us to Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees ('the Authorised Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax /revenue authorities and other investigation agencies without any obligation of advising me/us of the same.

SIGNATURE(S)

1 st Applicant Signature / Guardian Signature	2 nd Applicant Signature	3 rd Applicant Signature	POA Signature
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Date

Place _____